

3-30-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	068508.0102	Total Pages	51, & 1 Check
First Named Inventor or Application Identifier			
WU, GUANGDIAN GORDON			
Express Mail Label No.	EL477922126US		

ADDRESS TO:

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
WASHINGTON, D.C. 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
- ☒ Specification Total Pages [ 36 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention (cover page)
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 USC 113) Total Sheets [ 8 ]  
Total Pages [ 44 ]
- ☒ Oath or Declaration
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Microfiche Computer Program (Appendix)

## ACCOMPANYING APPLICATION PARTS

- Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies
- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement
  - ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☒ Small Entity Statement(s)
  - ☐ Statement filed in prior application.  
Status still proper and desired
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☒ Other:
  - a. Certificate of Mailing via Express Mail;
  - b. Check #732836 in the amount of \$355.00 for filing fee;

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME	RONALD L. CHICHESTER (REG. NO. 36,765)				
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 723.00)

## Complete if Known

Application Number  
Filing Date March 29, 2001  
First Named Inventor WU, Guanadian Gordon  
Examiner Name  
Group Art Unit  
Attorney Docket No. 068508.0102

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-0383  
Deposit Account Name Baker Botts L.L.P.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

## 2. EXTRA CLAIM FEES

Total Claims 52 - 20\*\* = 32 x 9.00 = 288.00  
Independent Claims 5 - 3\*\* = 2 x 40.00 = 80.00  
Multiple Dependent \_\_\_\_\_ = \_\_\_\_\_

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 368.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

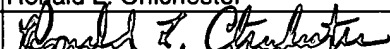
Name (Print/Type) Ronald L. Chichester

Registration No. (Attorney/Agent) 36,765

## Complete (if applicable)

Telephone 713.229.1341

Signature



Date March 29, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

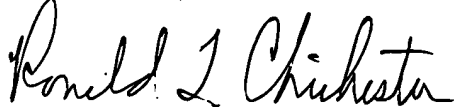
Express Mail:  
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**CERTIFICATE OF MAILING BY EXPRESS MAIL**

PURSUANT TO 37 C.F.R. § 1.10, I HEREBY CERTIFY THAT THIS NON-PROVISIONAL PATENT APPLICATION IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL POST OFFICE TO ADDRESSEE, IN AN ENVELOPE ADDRESSED TO:

HONORABLE COMMISSIONER OF PATENTS  
BOX PATENT APPLICATION  
WASHINGTON, D.C., 20231

ON MARCH 29, 2001.



RONALD L. CHICHESTER (REG. NO. 36,765)

MARCH 29, 2001  
DATE

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EXPRESS MAIL LABEL

INCLUDED IN THIS PATENT APPLICATION MAILING ARE:

PATENT APPLICATION TRANSMITTAL;  
36 PAGES OF SPECIFICATION DOCUMENTS;  
8 PAGES OF DRAWINGS;  
FEE TRANSMITTAL, WITH DUPLICATE COPY FOR FEE PROCESSING;  
CHECK #732836 IN THE AMOUNT OF \$355.00 FOR FILING FEE  
**(NOTE: BALANCE OF FEE FOR ADDITIONAL CLAIMS (\$368.88) TO BE  
CHARGED TO BAKER BOTTS L.L.P. ACCOUNT 02-0383;**  
EXECUTED DECLARATION;  
POWER OF ATTORNEY;  
STATEMENT ASSERTING SMALL ENTITY STATUS;  
RETURN POSTCARD TO ACKNOWLEDGE RECEIPT OF ABOVE ITEMS.

FILING DATE: MARCH 29, 2001  
INVENTOR: WU, GUANGDIAN GORDON  
ATTY. DOCKET NO.: 068508.0102  
TITLE: "METHOD AND APPARATUS FOR INDIVIDUAL-CENTRIC USE OF THE  
INTERNET"